

# Proxy pullbacks in the category of lenses

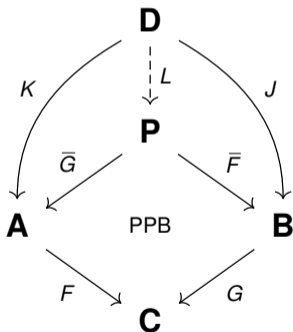
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**Australian Category Seminar**

When is there a lens  $L$  such that  $K = \bar{G} \circ L$  and  $J = \bar{F} \circ L$ ?



$L$  exists  $\implies (K, J)$  **independent** and **compatible** with  $(F, G)$   
 $(\bar{G}, \bar{F})$  **sync minimal**

$(\bar{G}, \bar{F})$  **sync minimal**  $\iff L$  exists for all  $(K, J)$  **independent** and **compatible** with  $(F, G)$

- 1 Proxy pullbacks
- 2 Compatibility and independence
- 3 Sync minimality
- 4 Special cases

A **cofunctor**  $F: \mathbf{A} \rightarrow \mathbf{B}$  consists of

- a function  $F: |\mathbf{A}| \rightarrow |\mathbf{B}|$ , called the **object function**, and
- for each  $A \in |\mathbf{A}|$ , a function

$$F^A: \mathbf{B}(FA, *) \rightarrow \mathbf{A}(A, *),$$

called a **put function**,

such that the equations

$$F \operatorname{tgt} F^A b = \operatorname{tgt} b$$

(PutTgt)

$$F^A \operatorname{id}_{FA} = \operatorname{id}_A$$

(PutId)

$$F^A(b' \circ b) = F^A b' \circ F^A b$$

(PutPut)

hold whenever they are defined.

# Mixed diagrams and compatible mixed squares

- Mixed diagrams involve  $\mathbf{A} \rightrightarrows \mathbf{B}$  functors,  $\mathbf{A} \leftleftarrows \mathbf{B}$  cofunctors, and  $\mathbf{A} \rightleftarrows \mathbf{B}$  lenses

- $\begin{array}{ccc} \mathbf{D} & \xrightarrow{J} & \mathbf{B} \\ K \downarrow & & \downarrow G \\ \mathbf{A} & \xrightarrow{F} & \mathbf{C} \end{array}$  is a *compatible mixed square* if the equations

$$GJD = FKD$$

and

$$JK^D a = G^{JD} Fa$$

hold whenever they are defined

- Categories, functors, cofunctors and compatible mixed squares form a double category



- A **lens**  $F: \mathbf{A} \rightarrow \mathbf{B}$  is a compatible mixed square
 
$$\begin{array}{ccc}
 \mathbf{A} & \xrightarrow{\mathcal{G}F} & \mathbf{B} \\
 \mathcal{P}F \downarrow & & \parallel \\
 \mathbf{B} & \xlongequal{\quad} & \mathbf{B}
 \end{array}$$
- $\mathcal{G}F$  is the **get functor** of  $F$  and  $\mathcal{P}F$  is the **put cofunctor** of  $F$
- A lens  $F: \mathbf{A} \rightarrow \mathbf{B}$  is a **discrete opfibration** if

$$\begin{array}{ccc}
 \mathbf{A} & \xlongequal{\quad} & \mathbf{A} \\
 \parallel & & \downarrow \mathcal{P}F \\
 \mathbf{A} & \xrightarrow{\mathcal{G}F} & \mathbf{B}
 \end{array}$$

is also a compatible mixed square

# Compatible lens squares



$$\begin{array}{ccc} \mathbf{D} & \xrightarrow{J} & \mathbf{B} \\ K \downarrow & & \downarrow G \\ \mathbf{A} & \xrightarrow{F} & \mathbf{C} \end{array}$$
 is a *compatible lens square* if it commutes and

$$\begin{array}{ccc} \mathbf{D} & \xrightarrow{\mathcal{G}J} & \mathbf{B} \\ \mathcal{P}K \downarrow & & \downarrow \mathcal{P}G \\ \mathbf{A} & \xrightarrow{\mathcal{G}F} & \mathbf{C} \end{array}$$

and

$$\begin{array}{ccc} \mathbf{D} & \xrightarrow{\mathcal{P}J} & \mathbf{B} \\ \mathcal{G}K \downarrow & & \downarrow \mathcal{G}G \\ \mathbf{A} & \xrightarrow{\mathcal{P}F} & \mathbf{C} \end{array}$$

are compatible mixed squares.

- A **proxy pullback square** is a compatible lens square whose get functors form a pullback square in  $\mathcal{Cat}$
- For each lens cospan, there is a unique proxy pullback of the cospan above each pullback of the get functors of the cospan
- Proxy pullbacks are unique up to unique isomorphism of lens spans
- Proxy pullbacks are sometimes but not always real pullbacks

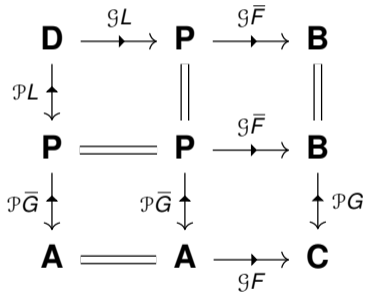
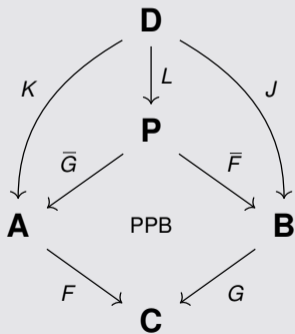


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# Necessity of compatibility

## Proposition

$(K, J)$  is compatible with  $(F, G)$



# Independence

A lens span  $\mathbf{A} \xleftarrow{K} \mathbf{D} \xrightarrow{J} \mathbf{B}$  is **independent** if, for all morphisms  $d$  and  $d'$  in  $\mathbf{D}$  with the same source that are composites of lifts along  $K$  and  $J$ , whenever  $Kd = Kd'$  and  $Jd = Jd'$  also  $d = d'$ .

$\mathbf{A}$   
 $\uparrow$   
 $K$   
 $\mathbf{D}$   
 $\downarrow$   
 $J$   
 $\mathbf{B}$

$$\begin{array}{ccccccc}
 KD_1 & \xrightarrow{a_1} & KD_2 & \xrightarrow{KJ^{D_2} b_2} & KD_3 & \xrightarrow{a_3} & \dots & KD_n \\
 \sqcap & & & & & & & \sqcap \\
 KD'_1 & \xrightarrow{a'_1} & KD'_2 & \xrightarrow{KJ^{D'_2} b'_2} & KD'_3 & \xrightarrow{a'_3} & \dots & KD'_n
 \end{array}$$

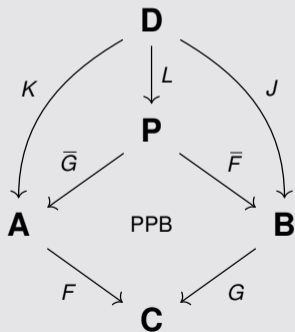
$$\begin{array}{ccccccc}
 D_1 & \xrightarrow{K^{D_1} a_1} & D_2 & \xrightarrow{J^{D_2} b_2} & D_3 & \xrightarrow{K^{D_3} a_3} & \dots & D_n \\
 \sqcap & & & & & & & \sqcap \\
 D'_1 & \xrightarrow{K^{D'_1} a'_1} & D'_2 & \xrightarrow{J^{D'_2} b'_2} & D'_3 & \xrightarrow{K^{D'_3} a'_3} & \dots & D'_n
 \end{array}$$

$$\begin{array}{ccccccc}
 JD_1 & \xrightarrow{JK^{D_1} a_1} & JD_2 & \xrightarrow{b_2} & JD_3 & \xrightarrow{JK^{D_3} a_3} & \dots & JD_n \\
 \sqcap & & & & & & & \sqcap \\
 JD'_1 & \xrightarrow{JK^{D'_1} a'_1} & JD'_2 & \xrightarrow{b'_2} & JD'_3 & \xrightarrow{JK^{D'_3} a'_3} & \dots & JD'_n
 \end{array}$$

# Necessity of independence

## Proposition

$(K, J)$  is independent



$A$   
 $\uparrow$   
 $K$   
 $D$   
 $\downarrow$   
 $J$   
 $B$

$KD_1$	$KD_2$	$KD_3$	$\dots$	$KD_n$
$KD'_1$	$KD'_2$	$KD'_3$	$\dots$	$KD'_{n'}$

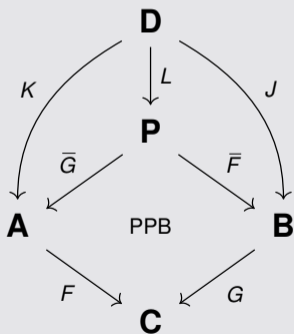
$D_1$	$D_n$
$D'_1$	$D'_{n'}$

$JD_1$	$JD_2$	$JD_3$	$\dots$	$JD_n$
$JD'_1$	$JD'_2$	$JD'_3$	$\dots$	$JD'_{n'}$

# Necessity of independence

## Proposition

$(K, J)$  is independent



$\begin{matrix} & \mathbf{A} & \\ & \uparrow & \\ \mathbf{K} & & \\ & \mathbf{D} & \\ & \downarrow & \\ \mathbf{J} & & \\ & \mathbf{B} & \end{matrix}$

$$\begin{array}{ccccccc}
 KD_1 & \xrightarrow{a_1} & KD_2 & \xrightarrow{KJ^{D_2} b_2} & KD_3 & \xrightarrow{a_3} & \dots & KD_n \\
 \square & & & & & & & \square \\
 KD'_1 & \xrightarrow{a'_1} & KD'_2 & \xrightarrow{KJ^{D'_2} b'_2} & KD'_3 & \xrightarrow{a'_3} & \dots & KD'_{n'}
 \end{array}$$

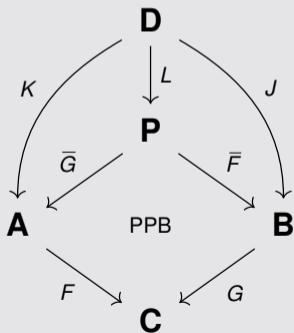
$$\begin{array}{ccccccc}
 D_1 & \xrightarrow{K^{D_1} a_1} & D_2 & \xrightarrow{J^{D_2} b_2} & D_3 & \xrightarrow{K^{D_3} a_3} & \dots & D_n \\
 \square & & & & & & & \square \\
 D'_1 & \xrightarrow{K^{D'_1} a'_1} & D'_2 & \xrightarrow{J^{D'_2} b'_2} & D'_3 & \xrightarrow{K^{D'_3} a'_3} & \dots & D'_{n'}
 \end{array}$$

$$\begin{array}{ccccccc}
 JD_1 & \xrightarrow{JK^{D_1} a_1} & JD_2 & \xrightarrow{b_2} & JD_3 & \xrightarrow{JK^{D_3} a_3} & \dots & JD_n \\
 \square & & & & & & & \square \\
 JD'_1 & \xrightarrow{JK^{D'_1} a'_1} & JD'_2 & \xrightarrow{b'_2} & JD'_3 & \xrightarrow{JK^{D'_3} a'_3} & \dots & JD'_{n'}
 \end{array}$$

# Necessity of independence

## Proposition

$(K, J)$  is independent



$\begin{matrix} & \mathbf{A} & \\ & \uparrow & \\ \mathbf{K} & & \\ & \mathbf{D} & \\ & \downarrow & \\ \mathbf{J} & & \\ & \mathbf{B} & \end{matrix}$

$$\begin{array}{ccccccc}
 KD_1 & \xrightarrow{a_1} & KD_2 & \xrightarrow{\bar{G}\bar{F}^{LD_2}b_2} & KD_3 & \xrightarrow{a_3} & \dots & KD_n \\
 \square & & & & & & & \square \\
 KD'_1 & \xrightarrow{a'_1} & KD'_2 & \xrightarrow{\bar{G}\bar{F}^{LD'_2}b'_2} & KD'_3 & \xrightarrow{a'_3} & \dots & KD'_{n'}
 \end{array}$$

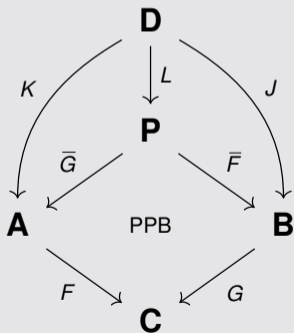
$$\begin{array}{ccccccc}
 D_1 & \xrightarrow{L^{D_1}\bar{G}^{LD_1}a_1} & D_2 & \xrightarrow{L^{D_2}\bar{F}^{LD_2}b_2} & D_3 & \xrightarrow{L^{D_3}\bar{G}^{LD_3}a_3} & \dots & D_n \\
 \square & & & & & & & \square \\
 D'_1 & \xrightarrow{L^{D'_1}\bar{G}^{LD'_1}a'_1} & D'_2 & \xrightarrow{L^{D'_2}\bar{F}^{LD'_2}b'_2} & D'_3 & \xrightarrow{L^{D'_3}\bar{G}^{LD'_3}a'_3} & \dots & D'_{n'}
 \end{array}$$

$$\begin{array}{ccccccc}
 JD_1 & \xrightarrow{\bar{F}\bar{G}^{LD_1}a_1} & JD_2 & \xrightarrow{b_2} & JD_3 & \xrightarrow{\bar{F}\bar{G}^{LD_3}a_3} & \dots & JD_n \\
 \square & & & & & & & \square \\
 JD'_1 & \xrightarrow{\bar{F}\bar{G}^{LD'_1}a'_1} & JD'_2 & \xrightarrow{b'_2} & JD'_3 & \xrightarrow{\bar{F}\bar{G}^{LD'_3}a'_3} & \dots & JD'_{n'}
 \end{array}$$

# Necessity of independence

## Proposition

$(K, J)$  is independent



**A**  
↑  
**K**  
**D**  
↓  
**J**  
**B**

$$\begin{array}{ccccccc}
 KD_1 & \xrightarrow{a_1} & KD_2 & \xrightarrow{\bar{G}\bar{F}^{LD_2} b_2} & KD_3 & \xrightarrow{a_3} & \dots & KD_n \\
 \sqcap & & & & & & & \sqcap \\
 KD'_1 & \xrightarrow{a'_1} & KD'_2 & \xrightarrow{\bar{G}\bar{F}^{LD'_2} b'_2} & KD'_3 & \xrightarrow{a'_3} & \dots & KD'_{n'}
 \end{array}$$

$$\begin{array}{ccc}
 D_1 & \xrightarrow{L^{D_1}(\bar{G}^{LD_1} a_1; \bar{F}^{LD_2} b_2; \bar{G}^{LD_3} a_3; \dots)} & D_n \\
 \sqcap & & \\
 D'_1 & \xrightarrow{L^{D'_1}(\bar{G}^{LD'_1} a'_1; \bar{F}^{LD'_2} b'_2; \bar{G}^{LD'_3} a'_3; \dots)} & D'_{n'}
 \end{array}$$

$$\begin{array}{ccccccc}
 JD_1 & \xrightarrow{\bar{F}\bar{G}^{LD_1} a_1} & JD_2 & \xrightarrow{b_2} & JD_3 & \xrightarrow{\bar{F}\bar{G}^{LD_3} a_3} & \dots & JD_n \\
 \sqcap & & & & & & & \sqcap \\
 JD'_1 & \xrightarrow{\bar{F}\bar{G}^{LD'_1} a'_1} & JD'_2 & \xrightarrow{b'_2} & JD'_3 & \xrightarrow{\bar{F}\bar{G}^{LD'_3} a'_3} & \dots & JD'_{n'}
 \end{array}$$

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A lens span

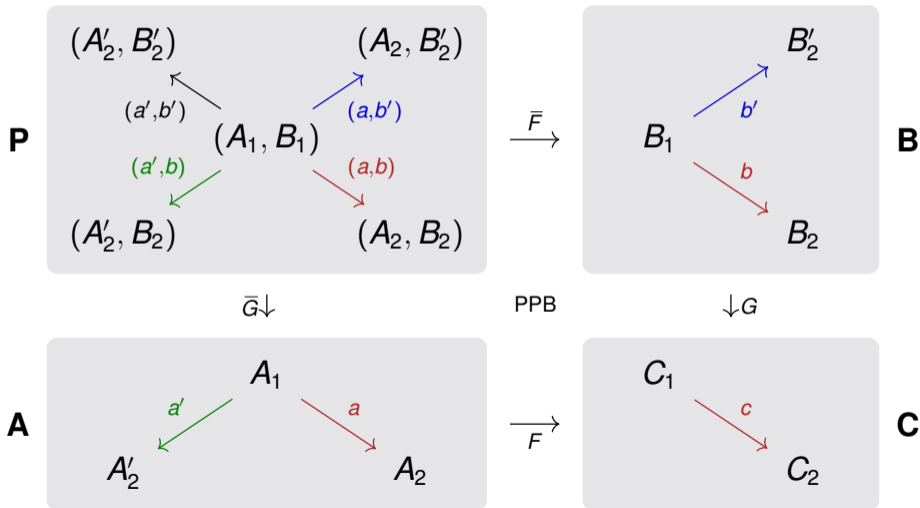
$$\mathbf{A} \xleftarrow{K} \mathbf{D} \xrightarrow{J} \mathbf{B}$$

is *sync minimal* if each morphism in  $\mathbf{D}$  is a composite

$$D_1 \xrightarrow{d_1} D_2 \xrightarrow{d_2} D_3 \cdots D_{n-1} \xrightarrow{d_{n-1}} D_n$$

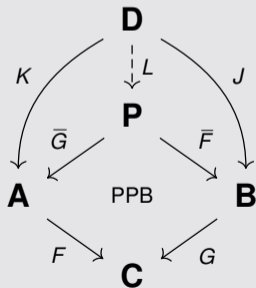
of lifts along  $K$  or  $J$ , that is, for each  $i$ , either  $d_i = K^{D_i} K d_i$  or  $d_i = J^{D_i} J d_i$ .

# Non-example of sync minimality



## Proposition

If  $(K, J)$  is independent and is compatible with  $(F, G)$  and  $(\bar{G}, \bar{F})$  is sync minimal, then a unique  $L$  exists.

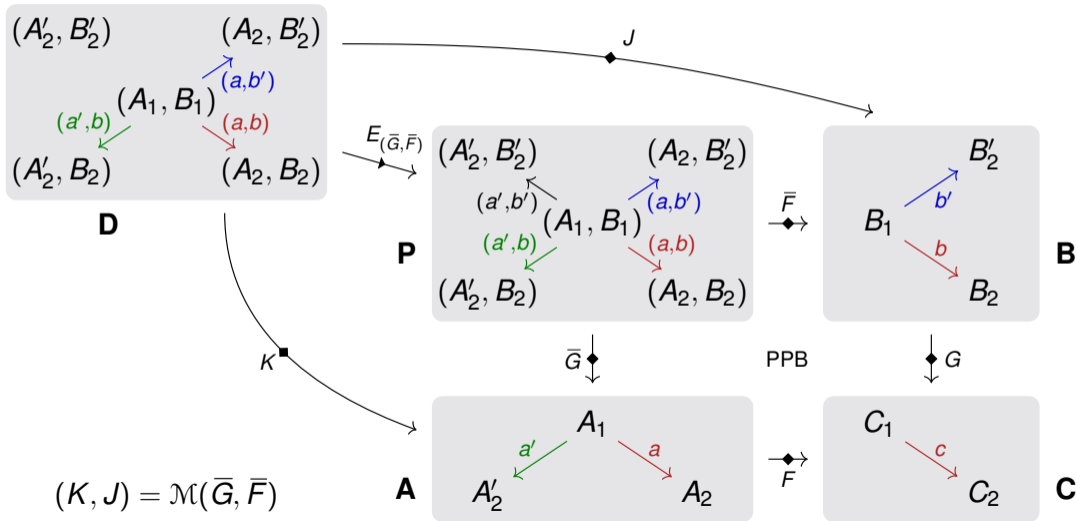


Proof.

See my MRES thesis.

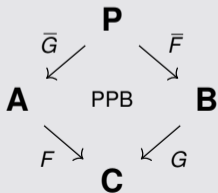
- The *sync-minimal core* of a lens span is obtained by removing all morphisms from its apex that are not composites of lifts along its legs.
- Let  $\mathcal{M}(K, J)$  denote the sync-minimal core of a lens span  $(K, J)$
- Let  $E_{(K, J)}$  denote the inclusion of the apex of  $\mathcal{M}(K, J)$  into that of  $(K, J)$
- Independence of  $(K, J)$  is about morphisms in  $\mathcal{M}(K, J)$

# Example of sync-minimal core



## Proposition

If  $(\bar{G}, \bar{F})$  is terminal amongst the independent spans that are compatible with  $(F, G)$ , then  $(\bar{G}, \bar{F})$  is sync minimal.



## Proof sketch.

- $\mathcal{M}(\bar{G}, \bar{F})$  is independent and compatible with  $(F, G)$
- There is a unique comparison lens  $H$  from  $\mathcal{M}(\bar{G}, \bar{F})$  to  $(\bar{G}, \bar{F})$
- As  $(\mathcal{G}\bar{G}, \mathcal{G}\bar{F})$  is a pullback,  $\mathcal{G}H = E_{(\bar{G}, \bar{F})}$
- $H$  is surjective on morphisms as it is a surjective-on-objects lens
- $H$  is actually the identity lens □



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## Proposition

*A proxy pullback of a lens cospan is a real pullback if and only if it is sync minimal and all lens spans that form commuting squares with the cospan are independent and compatible with the cospan.*

- Unsatisfactory as checking the independence and compatibility of *all* such lens spans is non-trivial
- Would be better if conditions were only in terms of the lens cospan



## Proposition

*A proxy pullback of a lens cospan is a real pullback **if** at least one leg of the cospan is a discrete opfibration.*

## Proof.

See my MRES thesis.

## Proposition

*A proxy product of two categories is a real product **if and only if** at least one of them is a discrete category.*

## Proof sketch.

For *only if* direction, the projection lenses of the funny tensor product of two non-discrete categories form a non-independent lens span.

- Gave a new treatment of proxy pullbacks in terms of compatibility
- Characterised when a comparison lens to a proxy-pullback span exists
- Nicely characterised when proxy products are real products

## *Future work*

- Nicely characterise when proxy pullbacks are real pullbacks
- Reformulate sync-minimality and independence at a higher level — e.g. the (cofaithful bijective-on-objects, cofull)-factorisation of the product pairing in  $\mathcal{Cof}$  of the put cofunctors of a lens span gives its sync-minimal core